



INCOSAI XXII

Presentation of the Working Group on Programme Evaluation

7-11 December 2016



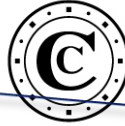
EVALUATION WORKING GROUP (EWG) MANDATE

« Help SAIs which practice evaluation or wish to do so by providing them with methodological tools and practical recommendations » (creation of EWG in 1992)

Decisions requested : approval by Governing Board of the endorsement version of the guidelines, and endorsement of final INTOSAI GOV 9400 by XXII - INCOSAI



- **Achievements of the last three years**
- **INTOSAI GOV developed**
- **Work plan for 2017 – 2019**
- **Decision areas for Governing Board and Congress**



2014/2016 – MEETINGS IN PARIS

ACHIEVEMENTS

Three Evaluation Working Group meetings respectively in November 2014, June 2015 and June 2016

Objective of the meetings :

- reach a consensus on the distinctive characteristics of evaluation and its methodology
- establish guidelines, a principle-based document, not a « standard » belonging to INTOSAI GOV category
- discuss and decide on the focus of the guidelines on the evaluation of public policies



CRUCIAL IMPORTANCE OF
KNOWLEDGE SHARING
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CRUCIAL IMPORTANCE OF

MAJOR ISSUES

- **Guidelines are even more necessary since evaluation of public policies could involve specific risks:**

→ *close to politics, even to political philosophy*

→ *far from SAIs usual methods*

→ *has to deal with new and not always robust techniques*



II. INTOSAI GOV DEVELOPED



STAGE 1 –PROJECT PROPOSAL

- **Drafting of the project proposal by EWG : June – July 2015**

- **Approval of the Project Proposal by KSC**

- ❑ 15 July 2015: project proposal and guidelines sent to KSC for approval.
- ❑ 15 October 2015: KSC Steering Committee : approval of the project proposal



STAGE 2 : EXPOSURE DRAFT

- **Drafting of the exposure draft by EWG : October 2015**

- **Approval of the exposure draft by KSC**

- 17 February 2016: after exposure draft was approved by the KSC, the document was posted on the issai.org website

- 17 February - 14 May 2016: Exposure period : 149 comments were received, from 8 SAIs and international organizations. Out of the 6 international organizations contacted, 3 sent comments: the OECD (67 comments), the UN (23 comments) and the World Bank (6 comments).



STAGE 3 : ENDORSEMENT VERSION (1/2)

▪ Analysis of comments received by EWG

- ❑ 14 May to 31 May 2016 : analysis of the comments received during the exposure period
- ❑ 1 June 2016 : new draft of the guidelines sent to EWG members with table including comments and responses to these comments.
- ❑ 20 June 2016 : EWG meeting dedicated to discussions on the new draft of the guidelines: minor changes to the document, technical rather than substantial.

▪ Drafting of the endorsement version by EWG

- ❑ 1 July 2016 : Draft endorsement version sent to EWG members for comment.
- ❑ 11 July 2016 : drafting of the endorsement version according to comments received from EWG members
- ❑ 13 July to 31 August 2016: Translation of the final endorsement version in the 5 official languages.



STAGE 3 : ENDORSEMENT VERSION (2/2)

▪ Approval of the endorsement version by KSC and by the Governing Board

- September 2016: Endorsement version sent to KSC for approval – Done
- December 2016: Endorsement version sent to Governing Board for approval

STAGE 4 : FINAL ENDORSEMENT OF FINAL INTOSAI GOV 9400

- XXI INCOSAI, Abu Dhabi, 2016**



WORK PLAN 2017 – 2019 (1/2)

- **Invitation to SAs performing evaluation of public policies to join**
- **Organization of annual professional events :**
 - (i) on issues of methodology
 - (ii) on case studies in a specific sector

- **2017 : methodological workshop on the optimal continuation of socio-economic studies and use of statistical data**
- **2018 : seminar on evaluation cases in the health (or education) sector**
- **2019 : methodological workshop**



WORK PLAN 2017 – 2019 (1/2)

- **At each event, the opportunity will be considered to partner with national or international professional association of evaluators**



DECISION AREAS FOR GOVERNING BOARD AND CONGRESS

- **Governing Board to refer final INTOSAI GOV 9400 to the INCOSAI**
- **Congress to endorse the final INTOSAI GOV**